

Brock University Individual Trustee Code of Conduct

Preamble: Brock University (the “University”) is primarily funded through the tuition paid by students and their families, government grants (public funds), and donors. Accordingly, an appropriate level of accountability and transparency is required in the administration of these funds and University operations more generally in order to uphold and advance the University’s reputation.

Consistent with the University’s stated strategic priority of practising accountability, fiscal responsibility, and stewardship, a Code of Conduct (the “Code”) has been developed for employees and individual trustees.

Our Values: The University’s Integrated Strategic Plan sets out a number of values, which we are committed to upholding.

Integrity and respect | Freedom of thought and expression coupled with academic responsibility | Unique student experience | Innovation | Accountability and stewardship | Sustainability | Generation and mobilization of knowledge

Our Commitment: We

1. will act in the best interests of and fulfill our obligations (including fiduciary obligations) to the University;
2. will act professionally, respectfully, honestly, fairly, ethically and with integrity;
3. will help create and maintain a culture of high ethical standards and commitment to compliance with relevant policies and regulations;
4. will not use our position or information acquired in the course of holding our position for personal gain, the advantage of any other entity, or in a manner that would be detrimental to the University’s interests;
5. will not accept gifts or other benefits, except as may be permitted under University policy;
6. will disclose all and refrain from influencing decision(s) related to situations where our personal interests and professional obligations intersect (see University’s Conflict of Interest Policy and the Conflict of Interest Bylaw 10.1 of the General Bylaws of the Board of Trustees);
7. will act in a manner that maintains or enhances the University’s reputation;
8. will not engage in or tolerate from others any form of abuse, harassment, or disrespectful/inappropriate behaviour;
9. are committed to protecting the University’s assets and information from loss, misuse and theft;
10. will respect the confidentiality of information relating to the affairs of the University acquired in the course of holding their position, except when authorized or legally required to disclose such information;

11. will comply with all applicable laws, rules and regulations, as well as University policies, procedures and guidelines. Without limiting the generality of the foregoing, this includes the following University policies:

- Respectful Work and Learning Environment
- Travel, Meal and Hospitality Expense
- Purchasing
- Signing/Delegation of Authority
- Workplace Violence Prevention

Please note: The policies listed above are not a comprehensive list of University policies. Individual trustees are obligated to respect all applicable University policies, which may be added to or modified from time-to-time through normal policy development/review processes.

12. will report actual or potential violations of the Code to the Chair of the Board or, in a case involving the Chair of the Board, to the Chair of the Audit Committee.
13. will fully cooperate with any investigation under the Code.

By signing below, I acknowledge that these are the University's expectations of all employees as well as individual trustees, which are enforceable under relevant University policy; and I undertake to meet these expectations personally and within my respective areas of responsibility more generally.

Signature

Name, Title (please print)

Date

March, 2015

NOTE: The Individual Trustee Code of Conduct was approved by the Board of Trustees on March 12, 2015 through the following resolution:
RESOLVED that the changes to the "Expectations and Code of Personal Conduct of Individual Trustees" document as outlined in the attachment to the Report dated March 11, 2015 be approved.