This checklist is intended to guide researchers in assessing the specific risks for in-person research with human participants in the context of the COVID-19 pandemic. Please answer each question to the best of your knowledge.

Name of Researcher/Principal Investigator:

Project Title:

1. What type of in-person research interaction is involved?

Low Risk: Restricted to members of a single household

Low Risk: No contact or minimal contact (physical distancing is easily achieved)

Moderate risk: Low-intensity physical contact only; physical distancing can be attained most of the time

High risk: High-intensity contact involving physical manipulation; sustained physical contact of more than 15 min at less than 2 m distance

Very high risk: Aerosol generation or contact with respiratory droplets is anticipated in an indoor setting

1. Is the research site or location for in-person data collection off-campus?

Yes

No

If YES, provide documentation regarding the COVID-19 related protocols from the organization (or explain why this is not needed) and summarize the measures that will be taken to protect participants.

Click or tap here to enter text.

If YES, also describe the current COVID-19 conditions for both the local public health region and the site in which you plan to conduct your research.

Click or tap here to enter text.

1. Who will be present in the space during research interactions? Provide the following information:

How many research team members will be in the room/space at one time?

How many participants (and caregivers, if required) will attend at one time?

What is the maximum number of occupants at one time in the room?

How large is the room?       m2 OR       square feet

1. Please describe your plans for mitigating the risk of COVID-19 transmission. Examples include hand hygiene, masking, distancing, ventilation, contact tracing, signage regarding protocols, cleaning between participants, and adequate time between participants.

Click or tap here to enter text.

1. How will research assistants/students be supervised and trained on COVID-19 mitigation strategies? See “[[Fall Planning: Return to Campus](https://brocku.sharepoint.com/human-resources/Shared%20Documents/Forms/AllItems.aspx?id=%2Fhuman%2Dresources%2FShared%20Documents%2FEHS%2FBrock%20COVID%2D19%20Resource%20Documents%2Epdf&parent=%2Fhuman%2Dresources%2FShared%20Documents%2FEHS)](https://brocku.sharepoint.com/Health-Safety-and-Wellness-Toolbox/Shared%20Documents/Forms/AllItems.aspx?id=%2FHealth%2DSafety%2Dand%2DWellness%2DToolbox%2FShared%20Documents%2FWhat%20Employees%20Need%20to%20Know%20%2D%20April%2026%202021%2Epdf&parent=%2FHealth%2DSafety%2Dand%2DWellness%2DToolbox%2FShared%20Documents)” document (to be given to research assistants). Click or tap here to enter text.
2. Do participants belong to a vulnerable population that makes them more likely to contract COVID-19 or to experience more severe disease or outcomes (e.g., elderly, underlying medical condition, immunocompromised)? See [Vulnerability factors](https://www.canada.ca/en/public-health/services/publications/diseases-conditions/vulnerable-populations-covid-19.html).

Yes  No  Unknown

Comments: Click or tap here to enter text.

1. Do you anticipate that the target participant population is at increased social risk due to the pandemic (e.g., unemployment, dependents, lack of space)? See [Vulnerability factors](https://www.canada.ca/en/public-health/services/publications/diseases-conditions/vulnerable-populations-covid-19.html).

Yes  No  Unknown

Comments: Click or tap here to enter text.

1. Will you confirm that no members of the participants’ households are at high risk for contracting COVID-19 (e.g., elderly, immunocompromised)? See [Vulnerability factors](https://www.canada.ca/en/public-health/services/publications/diseases-conditions/vulnerable-populations-covid-19.html).

Yes  No  n/a

Comments: Click or tap here to enter text.

1. Please describe your plans for safely ceasing data collection should the COVID-19 situation change, and in-person research must be suspended.

Comments: Click or tap here to enter text.

**Researcher Attestation**

I confirm that:

|  |  |
| --- | --- |
| 1. Participants and researchers will be informed about the [vaccination mandate](https://brocku.ca/coronavirus/faq/#1631193793770-50e0dda7-67dc) and [masking policies](https://brocku.ca/coronavirus/faq/#masks) | Yes |
| 1. All participants will be informed of the risks posed by COVID-19 to their health and complete documentation for [contact tracing](https://brocku.ca/coronavirus/faq/#1599850391281-8ad02dba-6f1e) | Yes |
| 1. All participants will be advised about necessary precautions to enhance their own and others’ safety during travel, if applicable | Yes  n/a |
| 1. Participants and researchers will complete the [Brock University self-screen survey](https://brocku.ca/coronavirus/survey) each day prior to in-person interaction on- or off-campus | Yes |
| 1. Participants and researchers will complete **COVID-19 Safety Considerations on Campus** and (if applicable) **COVID-19 Safety Considerations for Laboratory Spaces** training on Sakai (for [employees](https://lms.brocku.ca/x/EJHl03) or [students](https://lms.brocku.ca/portal/directtool/49d40085-16a0-4e4b-a310-8b2cf692083a/) as relevant), as well as any applicable required department-specific training | Yes |