

INVENTION RECORD

Project number:

The invention record is a written description of your invention. It fulfils several important purposes:

- It helps Brock to assess whether the work is patentable;
- It helps the patent attorney to prepare the draft patent, if we decide to proceed with patenting;
- It helps give Brock an early indication as to the ownership of your invention, and identify issues which will need to be addressed downstream; and
- It provides an important record of the date of invention, which can become important in future patent process.

IMPORTANT: Discussions between you and Brock about your invention are confidential. To avoid any inadvertent public disclosure of your invention please consider all discussions about the invention confidential. Please use Confidential Disclosure Agreements to protect discussions with anyone outside the University. Please ask us for advice.

By signing this Invention Record you agree to keep its contents as well as discussions with Brock about your invention confidential.

Please answer the following questions as best you can.

1. Descriptive Title of the Invention.

Please type here:

2. Who was involved? Please tell us for each inventor, contributor or author, if software:

- a. Their names and if any are foreign nationals;
- b. Who their employer is, and if this is not Brock, are any contracts or arrangements in place? Ultimately we're looking to determine whether their employer might assert a claim to part ownership in the invention, so please include any additional information, if known to you, about the terms of their employment contract.
- c. What they contributed to the development of the technology (e.g. came up with the original idea; designed experiments; carried out experimental work; wrote code)

Even if an individual's contribution to the invention was very small, please include their information here. For software projects: it is **ESSENTIAL** that all individuals who contributed to the code are listed here.

Name	
Nationality	
Employer(s) and contract information	
Contribution to the invention	

Name	
Nationality	
Employer(s) and contract information	
Contribution to the invention	

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Contribution to the invention	

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Contribution to the invention	

Name	
Nationality	
Employer(s) and contract information	
Contribution to the invention	

(Please add rows or supply further detail on a separate sheet if there is not enough room)

3. Please tell us about your invention:

What do you think your invention is?	
What will your invention be used for?	
What are the advantages of your invention and how does it improve on the present situation?	
What is new about your invention?	
How and why does it work? What is the science behind the invention?	
Are there any other uses of the invention?	

4. To help us with our commercial assessment, please provide us with the names (and contact details if you have them) of any companies you are aware of who have an interest in the area of your invention, e.g. companies who sponsor research or who attend relevant conferences.

Please type here

5. Do you know of any published literature (including patents) relevant to your invention? Have you done any searching for published literature, and if so where? Please provide any details.

Please type here

6. Please tell us the story of the development of the invention:

When and where were the invention first conceived?	
What practical work has been done to date on the invention? Has the invention been tested in the laboratory or has it been used? If so please give results.	

7. What are your future plans for developing the technology? Do you have funds in place for this work, and what do you think you will achieve in this area in the next 12 months?

Please type here

8. Who have you told about the invention? When did you do this and where?

Please type here

9. When did you first describe the invention in writing or electronically? Do lab book records exist, or personal notes?

Please type here

10. Have you published, verbally, electronically or in writing, anything relevant to the invention, and if so when and what? Please tell us about abstracts, web pages and presentations as well as any published articles.

Please type here

11. Do you have plans to publish the work? If so, what is the timescale and where will the publication take place? If a draft paper exists please provide a copy.

Please type here

12. What is the funding background of the work you've done on the invention? Did you use any equipment, materials, samples, gifts or other in kind support provided by third parties, or biological materials obtained from humans? If so, please give details; specifically: was patient consent obtained?

Please type here

For inventions that include software please provide the following additional information.

13. Please provide the software application name and version number.

Please type here

14. For source code developed by the researchers identified in question 2 above:

What source files were used? Please provide a list.	
Which programming languages were used?	
Which development tools were used to create or generate the source files? Please provide a list.	

<p>What copyright protection notices are included in the source files?</p>	
<p>For new versions, which source files have been changed, added or removed since the previous version?</p>	
<p>What documentation or other files are required for others to use, develop and maintain the software? Please provide a list.</p>	
<p>Please indicate if the source files have been distributed outside the University, and if so, in what form and to whom?</p>	
<p>Are the source files available as a web download? If so, please provide the download URL and state the terms under which the download is available.</p>	

15. For other source files or libraries that are required to build the software application (external software):

<p>Please list all external software (files and libraries) used that provide functions required by the application.</p>	
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Which organization owns each piece of software?	
How was each piece of software obtained?	
Please provide details of the licence terms, or if it was a standard Open Source licence please provide the name of that licence.	

By my signature I certify that, to the best of my knowledge, the information contained in this Invention Record is correct and complete, and further certify that I will keep its contents as well as discussions with Brock about my invention confidential.

Signature: _____

Name: _____

Date: _____

The completed form should be returned to the Office of Research Services

The next step is for ORS to discuss this further with you. Further detailed forms are required to establish correct legal ownership of the intellectual property rights.