BROCK UNIVERSITY RESEARCH ETHICS BOARD Tuesday October 19, 2021 12:00 – 2:00 p.m. Teams

Minutes of the SREB Meeting

Attendance

Alyssa Bax (non-voting) Angela Book Dipanjan Chatterjee Elizabeth Shulman Jo-Ann Boyle-Jackson Lori Walker (non-voting) Linda Morice Michele Donnelly Nicole Luke Robert Steinbauer Sandra Bosacki Sandra Kroeker Veronica Panchyshyn Regrets

Heather Chalmers

MINUTES			
_ · _ ·		DISCUSSION	ACTION
1 1	Motion to app • Appro	orove Agenda oved	Motion to approve: RS Seconded: DC All in favour
	Motion to app • Appro	prove June Minutes eved	Motion to approve: RS Seconded: LM All in favour
ı	Motion to approve June-August Decision Reports • Approved		Motion to approve: MN Seconded: LM All in favour
	Discussion Items	 Snowball Sampling Presentation/Guideline Snowball sampling is a recruitment method that has historically been used for research purposes. The aim of the presentation today is to increase awareness of how snowball sampling can affect researchers vs. participants. What is snowball sampling? Snowball sampling is a recruitment technique that involves asking current research participants to help identify other potential participants (i.e., referrals). It is a non-probability sampling technique used where potential participants are difficult to find due to the specific traits sought. Because this method of recruitment raises ethical issues it should be justified and not used for convenience. Snowball sampling models: 1) Direct referral (Active): Researcher contacts potential participants: Researchers can ask current participants to provide the names and contact information of people they think might be interested. Researchers then contact these people directly. 2) Indirect referral (Passive): Potential participants contacted by previous participants not researcher: Researchers can ask current participants to tell other people about the study, pass on research information package etc. If interested, these people are instructed to contact the researcher directly. Snowball sampling "rules": 	

- Current participants cannot receive any compensation for providing referrals or be offered any incentives to provide referrals.
- Current participants <u>MUST NOT</u> be required to refer others or incur any penalty for not referring other participants.
- Issues with direct referrals (active snowball sampling):
 - Having current participants provide referrals' names directly to the researcher may violate referrals' privacy. For example, if participants were to be recruited based on sensitive criteria, such as an illness, asking current participants to refer others may reveal confidential information about these people and leave the potential participant feeling unsure and concerned about contact from a researcher without any warning.
 - Do people have the right to know who the referral came from? Does that further violate privacy?
- Issues with indirect referrals (passive snowball sampling):
 - If current participants have some degree of power over referrals, such as an employer-employee relationship, then asking current participants to mention the research to others may raise issues of undue influence.

Discussion:

- Q1: Could indirect risks be mitigated by having confidential referrals (e.g., the person who referred them won't know if they participated or not)?
- A1: Yes, that is one strategy to mitigate the risk of indirect snowball sampling. If referral participation is not confidential the potential participants would need to be informed prior to their participation.
- o Q2: Could the results be influenced by sampling bias?
- A2: Yes, since the participants are hand-picked (non-probability sampling) and do not represent a random sample of the population the results could be impacted. However, we do not review this issue unless it is a high-risk file because it is an issue related to scientific methods and research validity rather than ethics.
- Comment: It is preferred that researchers use indirect sampling.
 However, it is often questioned whether or not potential participants
 have a right to know who referred them, which may result in privacy
 issues. Our main concern is if researchers are using snowball
 sampling simply for convenience. Thus, researchers must justify
 their use of snowball sampling in their application.
- Q3: Should it be a requirement to tell the participants who referred them and assure them that the person who referred them will not know if they participated or not? Hard to tell if indirect snowball sampling is an ethical issue or just requires proper procedures to be in place since consent goes both ways.
- A3: For direct snowball sampling the consent of the referred person is taken away since they did not consent to having their information shared or being contacted. Whether or not snowball sampling can be used does not always depend on the level of risk, it depends on the context and justification. Frequently both student and faculty researchers choose the method because it is faster and more convenient.
 - Example 1: Study recruiting mothers of gay sons cannot use direct snowball sampling because it could be outing their sons accidentally.

- Example 2: Study recruiting individuals who keep historical documents about the underground railroad – direct snowball sampling is appropriate because these individuals are not easily contacted (i.e., no offices, contact info, or funding).
- Q4: What about information that is in the public domain?
- A4: Professional contacts are treated differently and are able to be directly contacted because their contact information is publicly available or already known (e.g., a list of members on a committee).
- Q5: Sometimes people are okay with their identity being known (e.g., publications and conferences).
- A5: While this is true, this is a separate issue from recruitment as participation in general does not have to be anonymous or confidential. Data collected can be not anonymous as long as the participants are fully informed and provide consent. Participants could also indicate that they do not wish to remain anonymous. However, when referring people who do not know that they are being referred, they did not have the opportunity to consent to being referred.
 - An in-between option: If participants would like to refer someone, they can check with that person beforehand to make sure it is okay that they are referred and that it is okay for the researcher to contact them about the study.
- Q6: Do we need to consider sharing email addresses if there is no risk and no sensitive information being collected? This may be considered an everyday risk since emails are shared frequently.
- A6 a): There may still be privacy issues in the research that the participants would want to consent to being contacted first. Especially if there is information being shared that they do not want to provide. Furthermore, could direct contact from a university be considered coercion?
- A6 b): In general, if snowball sampling is only being used for convenience, we do not allow researchers to use it. Directly contacting individuals about participation without their consent could come across as irritating or concerning (i.e., unsure of who provided their contact info) so passive snowball sampling is preferred when possible. Direct (active) snowball sampling requires specific populations or justification for its use.
- A6 c): General rules for reviewers: 1) suggest the use of passive rather than active snowball sampling, 2) context is important to determine if snowball sampling (and which model) may be appropriate to use, and 3) consider the point of contact. There is often not enough information provided in the applications to justify the use of active snowball sampling or if this sampling method is only being used for convenience. Ask the researchers to justify their use of snowball sampling if it is unclear.
- Comment: There is a group of REBs that contact each other for information on various topics. In this case, all of these REBs have indicated that they prefer indirect (passive) snowball sampling but accept direct (active) snowball sampling if given proper justification (i.e., not just for convenience). Some of the REBs require the researchers to inform the referred individuals of who referred them unless otherwise justifiable.

- Q7: In some cases, convenience matters. Researchers have students who need to graduate. Adolescents' contact information cannot be found in phone books or newspapers so sometimes word of mouth is the most effective way of recruiting participants in specific age ranges. This recruitment strategy could make or break certain research projects.
- A7 a): If you can justify the population then you can use direct (active) sampling, we just prefer indirect (passive) in general.
- A7 b): Yes, researchers are just required to justify their recruitment method, similar to how they need to justify using deception or waiving consent etc. As long as there is an appropriate reason for using snowball sampling it can be approved. Students frequently have projects where we've approved "word of mouth" as a recruitment strategy due to the population.

COVID-19 Update

- The ORE website links/FAQ/email templates have been updated to include the most recent COVID-19 information and requirements for Brock University.
- Vaccination exemptions are only provided through the Secretariat.
- The Brock legal team evaluates each case and provides information on how to access antigen testing at the pharmacy in East Academic.
- Exempt individuals are welcome to go to the Brock pharmacy and pick-up a test (paid for by Brock). They could also get a test from another pharmacy and would be reimbursed for the cost, but the process would take longer.
- It is unclear how individual tests would be covered for longitudinal studies but so far, we have only approved research studies involving single sessions of in-person testing.
- Exemption issues: religious exemptions are unlikely as there have been
 meetings with religious leaders that have come out mostly in favour of the
 vaccination requirements. Required to be sworn in front of a
 Commissioner of Oaths (Notary). It is unclear who would pay for this.
- Children are aging into the vaccination requirements and the vaccine may soon be available to those under 12 years of age. Our vaccination requirements remain the same – two vaccines plus 14 days.
- Unsure of how to handle children who may turn 12 in the middle of a study. Potentially could use a grace period of 28 days and evaluate on a case-by-case basis.
- We have recently granted REB clearance for a file where participants did not have the correct information required for Brock COVID-19 contact tracing etc (i.e., no phone numbers or emails). We waived our procedures and allowed the researchers to use the procedures in place at the external institutions.

Other Business

 New HREB Research Ethics Officer (Melissa) Hired – Starting November 1, 2021

3 Adjourn

Meeting adjourned at 1:24 p.m.

Motion to adjourn: DC Seconded: LM

All in favour