

# Uniaxial Optics I

Covered in Chapter 6 of Nesse

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# Uniaxial Minerals

- Have only one \_\_\_\_\_ axis and belong to the hexagonal and tetragonal systems
- Common minerals
  - Quartz -  $\text{SiO}_2$
  - Nepheline -  $\text{NaAlSi}_3\text{O}_8$
  - Calcite -  $\text{CaCO}_3$
  - Dolomite -  $(\text{Ca,Mg})\text{CO}_3$
  - Apatite -  $\text{Ca}_5(\text{PO}_4)_3(\text{F,Cl,OH})$
  - Zircon -  $\text{ZrSiO}_4$
  - Tourmaline – a borosilicate
    - $\text{Na}(\text{Mg,Fe,Li,Al})_3\text{Al}_3(\text{Si}_6\text{O}_{18})(\text{BO}_3)_3(\text{OH,F})_4$

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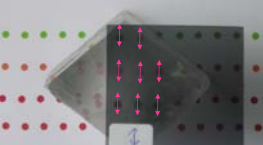
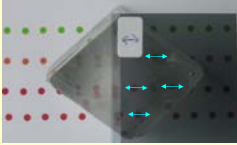
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# Double Refraction

## Calcite - What happens?

Each row of dots corresponds to one ray, each with its own **Vibration Direction** and **RI**.  
 The **Vibration Direction** can be determined using a polarizing filter.  
 The **RI** can be determined using a microscope and immersion oils.

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## Double Refraction

### Calcite – Why it happens?

Two images are produced by laying the calcite on a single row of dots.

The vibration directions of the light rays that produce the two images are mutually perpendicular. One vibrates parallel to the c-axis, one vibrates perpendicular to the c-axis (Lab 3)

When the calcite is rotated one row of dots stays stationary and one row of dots rotates with the calcite

The stationary image corresponds to the **ordinary ray ( $\omega$ )** which vibrates perpendicular to the c-axis

The rotating image corresponds to the **extraordinary ray ( $\epsilon$ )** which vibrates parallel to the c-axis.

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## Double Refraction

### Calcite – Why it happens?

- The ray corresponding to the image which \_\_\_\_\_ is called the **Extraordinary Ray –  $\epsilon$**  (epsilon)
- The ray corresponding to the \_\_\_\_\_ image, which behaves as though it were travelling through an \_\_\_\_\_ mineral, is called the **Ordinary Ray –  $\omega$**  (omega)
- The vibration direction of the **ordinary ray** lies in the {0001} plane of the calcite and is at 90° to the c-axis.
- The **extraordinary ray** vibrates \_\_\_\_\_ to the **ordinary ray**, \_\_\_\_\_ to the c-axis

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## Double Refraction

### Calcite – Why it happens?

- Using a slab of calcite that had been cut in a random orientation and placed on the dots, two images would still appear
- If the random cuts had been at 90° to the c-axis, only one image would be produced

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## Calcite Refractive Indices

- In Uniaxial minerals the c-axis coincides with the \_\_\_\_\_ axis (the direction through the mineral along which light propagates without being split into two rays)
- For calcite, the RI for the
  - ordinary ray is \_\_\_\_\_,  $n_o = 1.658$ , regardless of the direction through the grain that the light follows.
  - extraordinary ray,  $n_e$ , is \_\_\_\_\_ ranging from 1.486 to 1.658. The RI is dependant on the direction that the light travels through the mineral.
    - If light travels \_\_\_\_\_ to c-axis,  $n_e = 1.486$ .
    - If the light travels \_\_\_\_\_ the c-axis,  $n_e = 1.658$ .
    - For intermediate directions through the grain  $n_e$  will fall between the two extremes.

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## Calcite Refractive Indices

- Calcite is used as an example of the formation of the two rays because of the large difference between the refractive indices (birefringence ( $\delta$ ))
- For calcite,  $\delta = 0.172$
- For minerals with a lower birefringence, e.g. quartz ( $\delta = 0.009$ ), two images are still produced but show very little separation. The quartz would have to be 20-25X as thick as the calcite to see the same separation of the dots

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## Calcite Refractive Indices

- Can measure the RI of the ordinary and extraordinary rays using immersion oils
- Ray with the \_\_\_\_\_ RI is the \_\_\_\_\_ Ray (for calcite this is the \_\_\_\_\_ ray)
  - $n_e = V_{vac}/V$ ,  $n_e = 1.486$ ,  $V = 2.02 \times 10^{10}$  m/sec
- Ray with the \_\_\_\_\_ RI is the \_\_\_\_\_ Ray, (for calcite this is the \_\_\_\_\_ ray)
  - $n_o = V_{vac}/V$ ,  $n_o = 1.658$ ,  $V = 1.81 \times 10^{10}$  m/sec

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## Uniaxial Optic Sign

- For Calcite  $n_o > n_e$  1.658 vs. 1.486
- For Quartz  $n_o < n_e$  1.544 vs. 1.553
- This difference in the RI relationship defines the Optic Sign of Uniaxial Minerals
- By Convention:
  - For **uniaxial positive minerals**  $n_o < n_e$ 
    - quartz, zircon
  - For **uniaxial negative minerals**  $n_o > n_e$ 
    - calcite, apatite, nepheline, tourmaline

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## Uniaxial Optic Sign

- Alternatively,
  - If  $\epsilon$  ray is the slow ray, the mineral is optically positive
  - If the  $\epsilon$  ray is the fast ray the mineral is optically negative
- Whether the **extraordinary ray** has a higher or lower RI than the **ordinary ray** is dependent on the chemical bonding and crystal structure
- More on optic sign later

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## $n_e$ Clarification

- $n_e$  refers to the maximum or minimum RI for the **extraordinary ray**, the value recorded in the text
- Any orientation through a uniaxial mineral will provide  $n_o$ , but only one orientation cut parallel to the c-axis will yield  $n_e$
  - This orientation is the one which exhibits the highest interference colour, as  $\delta$  is greatest and therefore  $\Delta$  is greatest
  - $n_e'$  refers to a RI for the **extraordinary ray** which is between  $n_o$  and  $n_e$

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## Crystallographic Effects

- Uniaxial Minerals (Hexagonal and tetragonal) are characterized by a high degree of symmetry about the c crystallographic axis
- Within the 001 or 0001 plane, at 90° to the c-axis, there is uniform chemical bonding in all directions

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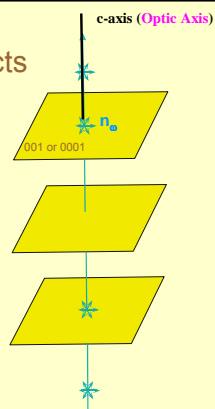
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## Crystallographic Effects

Due to symmetry about the c-axis, light travelling along the c-axis (Optic Axis) vibrates freely in any direction within the 001 or 0001 plane

Light travelling along the optic axis vibrates in the (001) plane and passes through the mineral as an ordinary ray ( $\omega$ ), since the electronic configuration is uniform for all vibration directions with (001)

This orientation can be easily recognized as it is the one that exhibits the lowest interference colour - low 1<sup>st</sup> order (dark grey to black)



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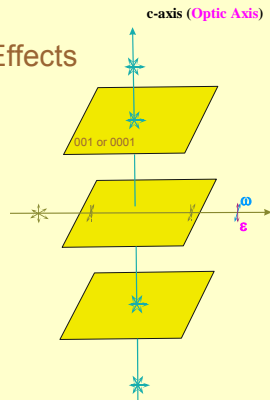
## Crystallographic Effects

Light travelling at 90° to the c-axis is split into two rays:

Ordinary ray ( $\omega$ ) which vibrates parallel to (001), and

Extraordinary ray ( $\epsilon$ ) which vibrates parallel to the c-axis

The difference between  $n_o$  and  $n_e$  is at a maximum value, yielding the maximum birefringence and the grain exhibits the highest interference colour



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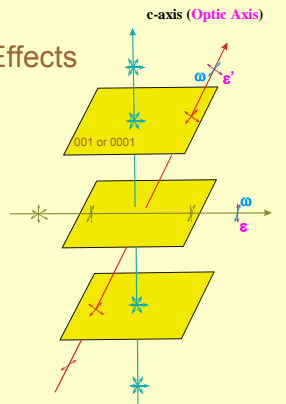
## Crystallographic Effects

Light travels at an oblique angle to the c-axis. It consists of:

**Ordinary ray ( $\omega$ )** which vibrates parallel to (001), and

**Extraordinary ray ( $\epsilon'$ )** which vibrates at some angle to (001)

$n_{\omega}$  is some value between  $n_o$  and  $n_e$ , so the birefringence is an intermediate value and the resulting interference colour between the minimum and maximum colours exhibited by the mineral



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## Uniaxial Optics II

### Uniaxial Indicatrix

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## Uniaxial Indicatrix

- Isotropic Indicatrix is a sphere – 1 RI
- Uniaxial minerals have 2 RIs ( $n_{\omega} \neq n_{\epsilon}$ ) resulting in the indicatrix forming an \_\_\_\_\_, the shape (flattened or stretched) is dependant on the orientation of the Optic Axis
  - **Uniaxial Positive** ( $n_{\omega} < n_{\epsilon}$ )
    - Z-axis = c-axis = Optic Axis
  - **Uniaxial Negative** ( $n_{\omega} > n_{\epsilon}$ )
    - X-axis = c-axis = Optic Axis

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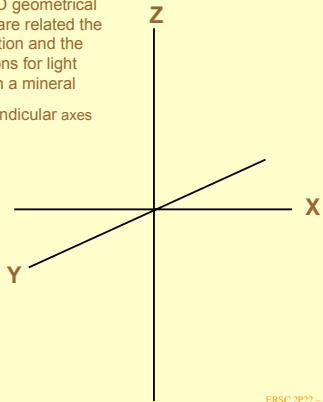
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**Indicatrix** – a 3D geometrical figure on which are related the indices of refraction and the vibration directions for light traveling through a mineral

3 mutually perpendicular axes X, Y and Z



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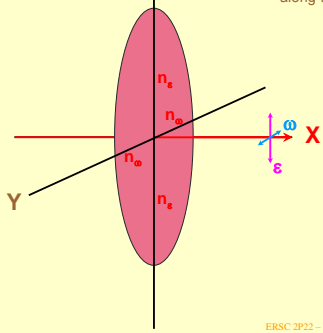
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Z (Optic Axis)

Ray traveling along the X-axis



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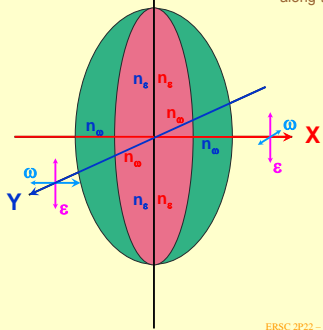
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Z (Optic Axis)

Ray traveling along the Y-axis



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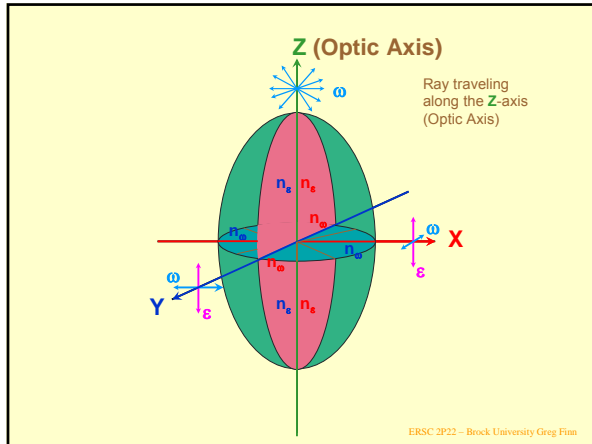
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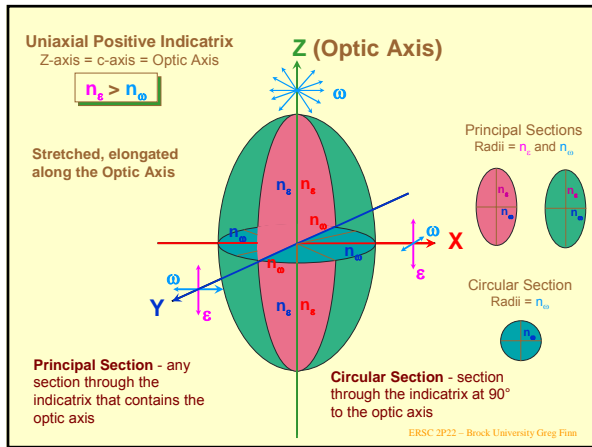
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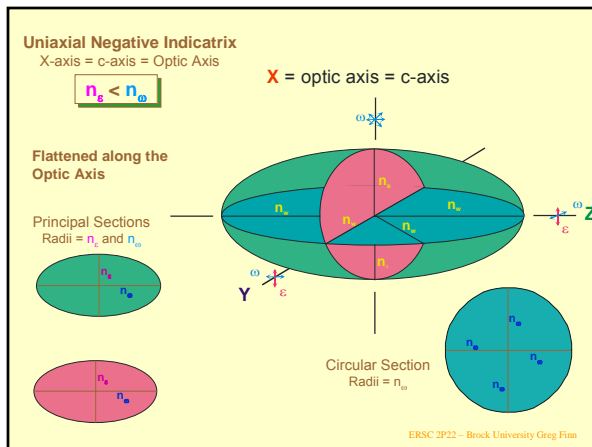
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## Uniaxial Indicatrix

- For positive and negative minerals
  - The \_\_\_\_\_ section through the indicatrix is \_\_\_\_\_ to the optic axis, and
  - Has a radius =  $n_o$
- The \_\_\_\_\_ of the indicatrix along the optic axis is always  $n_e$
- **Ordinary Ray ( $\omega$ )** vibrates at \_\_\_\_\_ to the optic axis
- **Extraordinary Ray ( $\epsilon$ )** vibrates \_\_\_\_\_ to the optic axis

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## Uniaxial Indicatrix

- Any section through the indicatrix which includes the optic axis is a \_\_\_\_\_ section, and produces an \_\_\_\_\_ with axes  $n_e$  and  $n_o$
- A section through the indicatrix \_\_\_\_\_ to the optic axis produces a \_\_\_\_\_ section with radius  $n_o$
- The uniaxial indicatrix is oriented so that the optic axis is \_\_\_\_\_ to the c-crystallographic axis
- Random sections through the indicatrix will produce an ellipse with axes  $n_o$  and  $n_e'$

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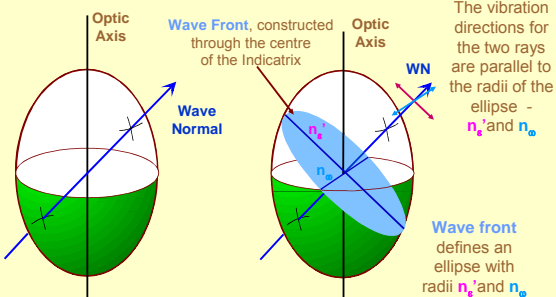
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## Determining the RIs and vibration directions for a random wave normal (WN)



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## Birefringence and Interference Colours

- The relationship between:

- \_\_\_\_\_ (difference between the RI of the slow and fast rays), and
- \_\_\_\_\_ (magnitude of the retardation)

for uniaxial minerals is dependent on the direction that light travels through the mineral, i.e. the orientation with respect to the Optic Axis

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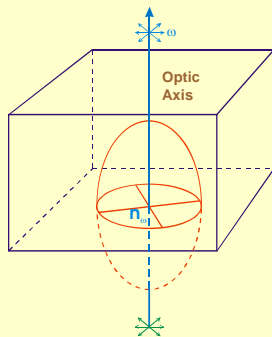
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### Case 1



Mineral grain is cut perpendicular to the Optic Axis (OA)  
 The resulting section through the indicatrix is a circular section. All light passes as the Ordinary Ray and maintains its original vibration direction, i.e. the vibration direction of the lower polarizer  
 Birefringence ( $n_s - n_t$ ) is at a minimum ( $n_o - n_o$ ) and the resulting interference colour is 1<sup>st</sup> Order black

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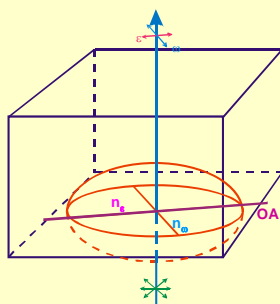
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### Case 2



Mineral grain is cut parallel to the Optic Axis (OA)  
 The resulting section through the indicatrix is a principal section, with axes equivalent to the indices of refraction  $n_o$  and  $n_e$ , with the vibration direction of the Ordinary Ray at 90° to the OA and the Extraordinary Ray parallel to the OA  
 Birefringence ( $n_s - n_t$ ) is at a maximum ( $n_o - n_e$ ) and the resulting interference colour is the maximum for this mineral.

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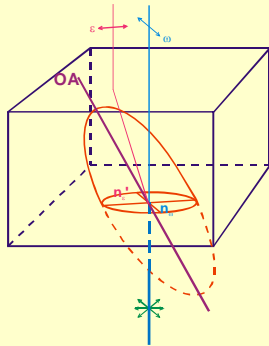
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### Case 3



Mineral grain is cut in a random orientation wrt Optic Axis (OA)

The resulting section through the indicatrix is an ellipse with axes equivalent to the indices or refraction  $n_o$  and  $n_e$ , with the vibration direction of the Ordinary Ray at  $90^\circ$  to the OA and the Extraordinary Ray parallel to the OA

Birefringence ( $n_e - n_o$ ) is at an intermediate value ( $n_o - n_c$ ) and the resulting interference colour lies between the maximum and minimum for this mineral.

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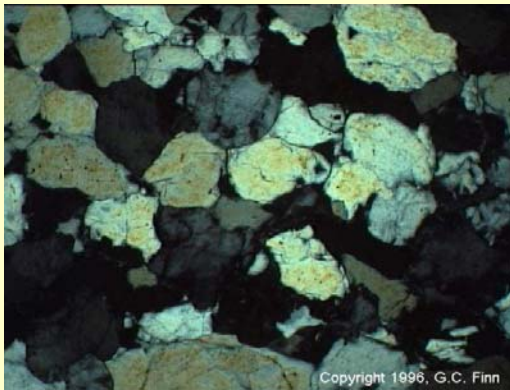
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Quartz – various orientations

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### Extinction

- Uniaxial minerals may exhibit parallel, inclined, symmetrical or 'no cleavage' extinction
- Type is dependant on:
  - The orientation the mineral is cut
  - The presence of cleavage(s) in the grain

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## Extinction in Tetragonal Minerals

- Prismatic and either elongate or stubby parallel to the c-axis
- Cleavage displayed:
  - Prismatic (parallel to c-axis), or
  - Pinacoidal (90° to c-axis)
- The way in which the grain is cut and the orientation of the indicatrix will dictate what is observed
- Examples
 

• Zircon	ZrSiO <sub>4</sub>	poor prismatic
• Rutile	TiO <sub>2</sub>	good prismatic



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## Extinction in Hexagonal Minerals

- Exhibit the following forms:
  - Prisms, pinacoids, pyramids and rhombohedrons
- Which exhibit:
  - Prismatic, pinacoidal and rhombohedral cleavages
- The way in which the grain is cut and the orientation of the indicatrix will dictate what is observed
- Examples
 

• Quartz	SiO <sub>2</sub>	no cleavage
• Calcite	CaCO <sub>3</sub>	1 of 2 cleavages is rhombohedral
• Apatite	Ca <sub>5</sub> (PO <sub>4</sub> ) <sub>3</sub> (OH,F,Cl)	rare cleavage

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## Pleochroism

- Coloured minerals (uniaxial and biaxial) are usually \_\_\_\_\_
- For pleochroic uniaxial minerals:
  - Sections cut at 90° to the c-axis will exhibit a \_\_\_\_\_ pleochroic colour, corresponding to the  $\omega$  ray
  - Sections cut parallel to the c-axis will exhibit the widest \_\_\_\_\_ in colour, as both  $\omega$  and  $\epsilon$  are present
- Strong vs. weak pleochroism

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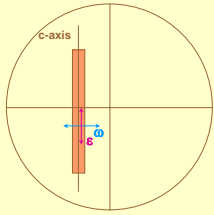
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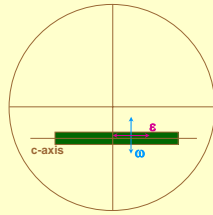
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Tourmaline – hexagonal mineral elongated along the c-axis



Pleochroic colour associated with  $\epsilon$ , as  $\epsilon$  is parallel to the lower polar, is light brown

Rotate 90°



Pleochroic colour associated with  $\omega$ , as  $\omega$  is parallel to the lower polar, is dark green

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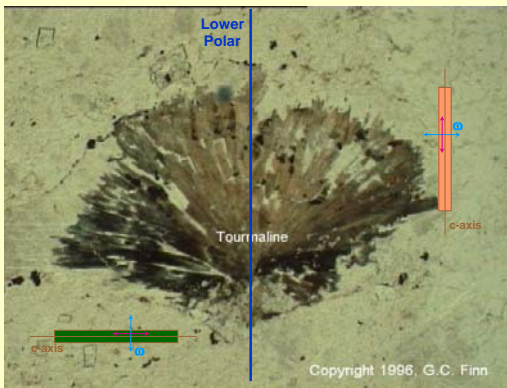
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