



Notification of Gift of Securities In-Kind

Brock University is grateful for your gift of securities. Under current tax regulations, your donation of publicly traded securities is exempt from capital gains tax only when you transfer the securities in-kind. A charitable donation receipt for income tax purposes will be issued based on the fair market value of the securities on the date (closing price) the securities are received into the University's brokerage account.

Our policy is to sell your gifted securities immediately upon clearing into our account, usually the day after your transfer. Given the volatility of today's market, the starting balance of any endowment or value of gift, will be the net realized cash value of the security sale.

To donate publicly traded securities, follow these simple steps:

1. Email a copy to Sonia Dupte at sdupte@brocku.ca or call to notify us of your intention.
2. Please instruct your broker to complete the transfer of the securities-in-kind to the University's account.
3. For questions about the gift of securities transfer process, please contact Sonia Dupte at (905) 650-7032 at sdupte@brocku.ca

Your broker may contact us directly or if you prefer, we can contact your broker to make your donation.

Brock University
1812 Sir Isaac Brock Way
St. Catharines, Niagara, Ontario L2S 3A1
www.brocku.ca

Charitable Organization No. 11881 9531 RR0001

Thank you for your support

Donor Information:

Donor Name: _____

Address: _____

City: _____ Postal Code: _____

Telephone: _____

E-mail: _____

Gift Planning Officer: _____

Securities Being Transferred From:

Name of Delivering Institution: _____

Contact Name: _____

Telephone: _____

Name of the Securities: _____

of Shares/Units: _____

Approximate Date of Transfer: _____

Donor Signature: _____

Date: _____

Securities Being Transferred To:

Account Name: Brock University
Account #581-24276-1-4 (FINS #T002, DTC#5002)

RBC Dominion Securities Inc.
Account Transfer Department
180 Wellington West, 12th Floor
Toronto, Ontario, M5J 0C2

Peter Partridge (Acct.Rep), Debbie Warren(Investment Assoc.) Tel: 905-988-5703 (St. Catharines branch)
Fax: 905-988-5889